



Lake Baikal Neutrino Experiment: Status and Perspectives

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for the Baikal collaboration

Moscow, August 2007

Collaboration:

- Institute for Nuclear Research, Moscow, Russia.
- Irkutsk State University, Russia.
- Skobeltsyn Institute of Nuclear Physics MSU, Moscow, Russia.
- DESY-Zeuthen, Zeuthen, Germany.
- Joint Institute for Nuclear Research, Dubna, Russia.
- Nizhny Novgorod State Technical University, Russia.
- St.Petersburg State Marine University, Russia.
- Kurchatov Institute, Moscow, Russia.

Baikal Neutrino Experiment

Milestones:

>1983: site / water studies;

R&D: large area PMT, underwater technique,
small physics setups.

1991: Proposal for NT200 detector in Lake Baikal was submitted

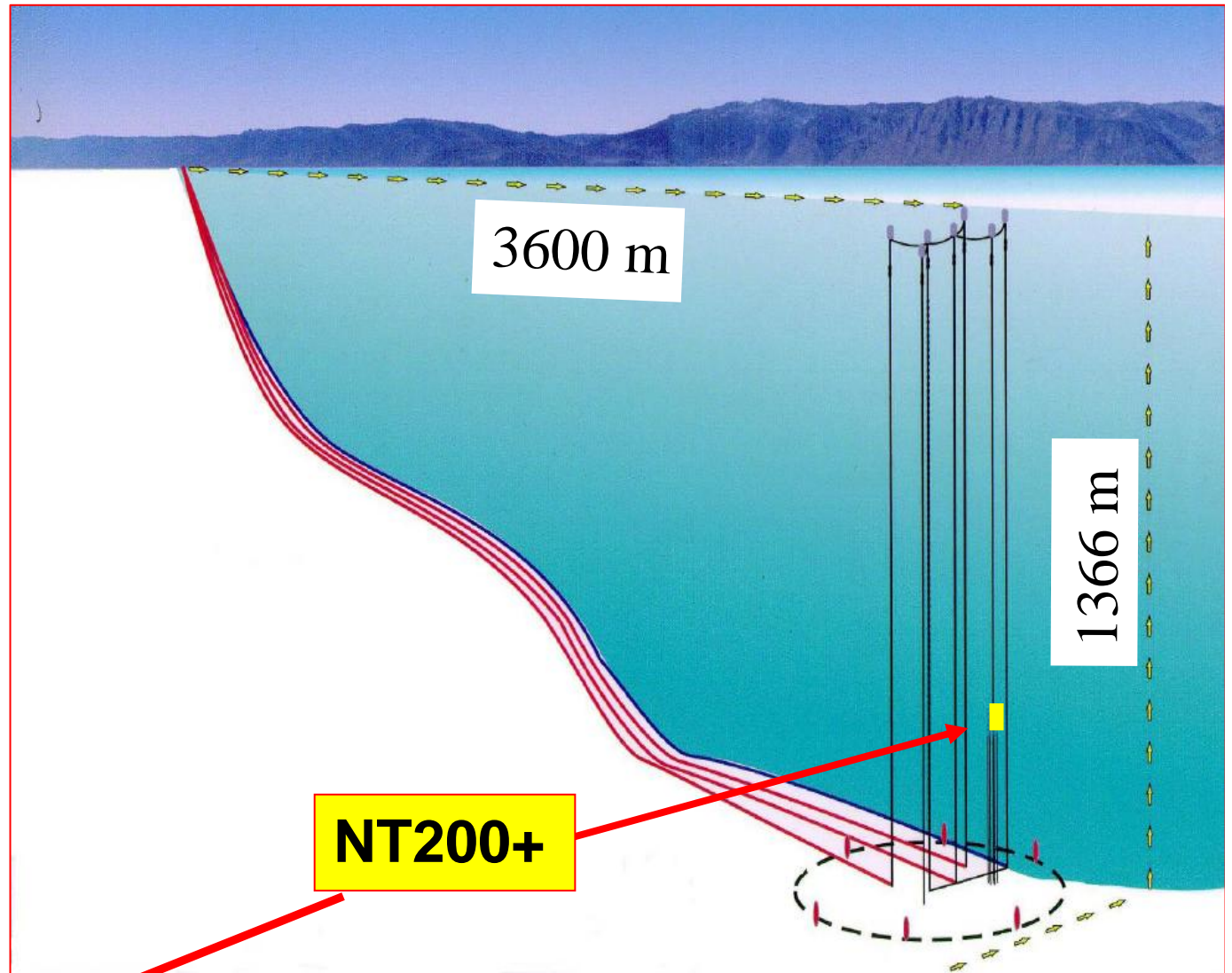
1993: NT36 – the first underwater array started

1998: NT200 – significant upgrade of NT36

2005 - 2006: NT200+ completed and is operating now

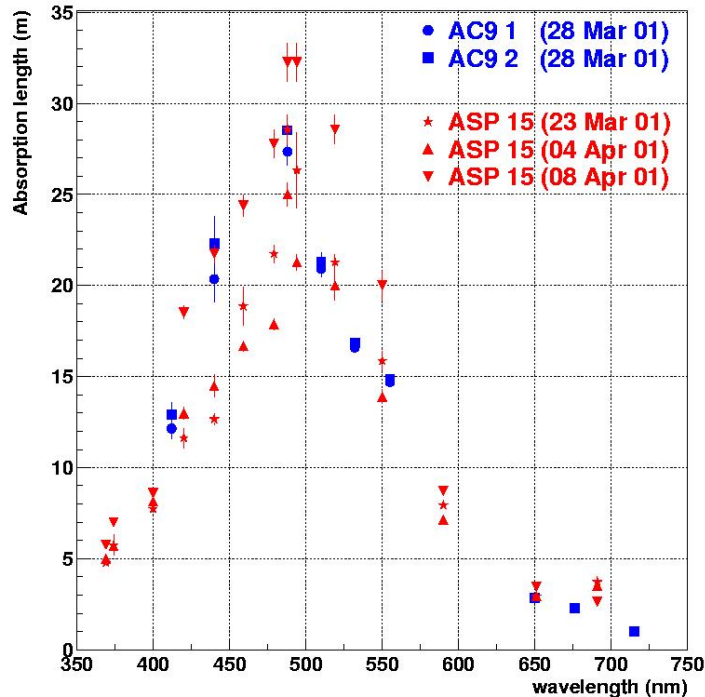
>2006: Activity towards **Gigaton Volume Detector** in Lake Baikal

The Site

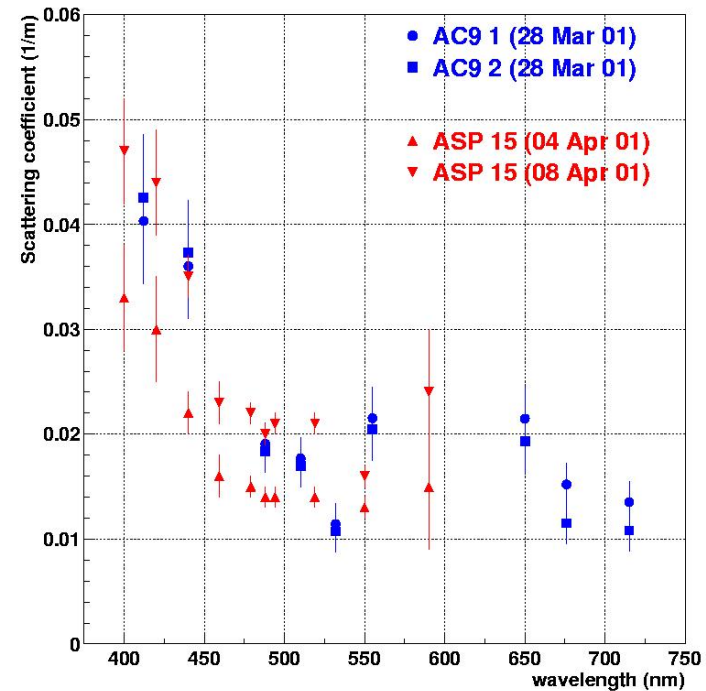


- 4 cables x 4km to shore.
- 1100m depth

Baikal - Optical Properties



Abs. Length: ~25 m



Scatt. Length (geom) ~ 30-60 m
 $\langle \cos \Theta \rangle \sim 0.85-0.9$

AC9 (transmissometer), used by the NEMO group

ASP15 (Absorption, Scattering and Phase function meter), used by the BAIKAL group

NIM A498 (2003) 231

Ice as a natural deployment platform

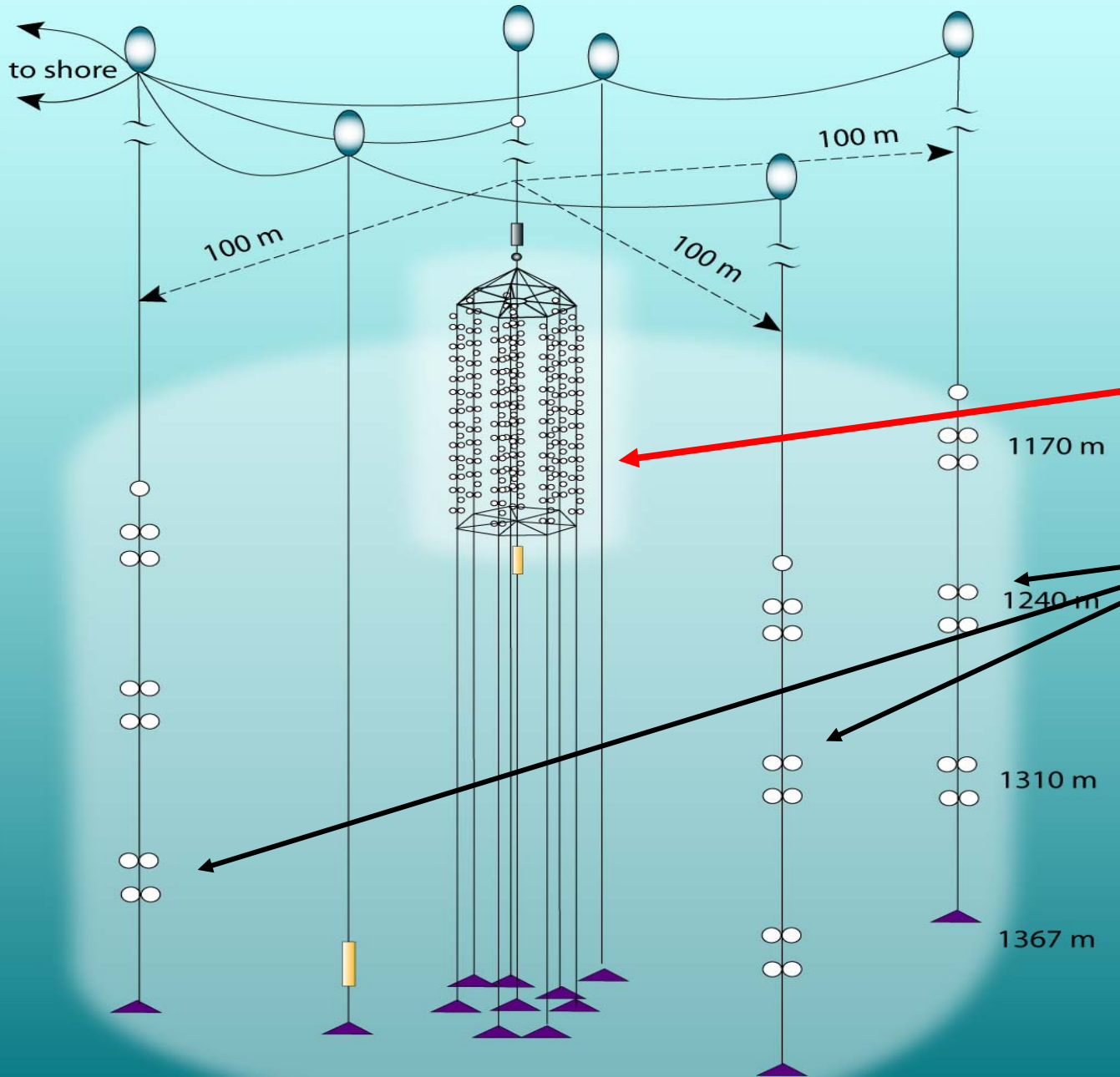
Ice stable for 6-8 weeks/year:

- **Maintenance & upgrades**
- **Test & installation of new equipment**



Winches used for deployment





NT200+

=

NT200

+

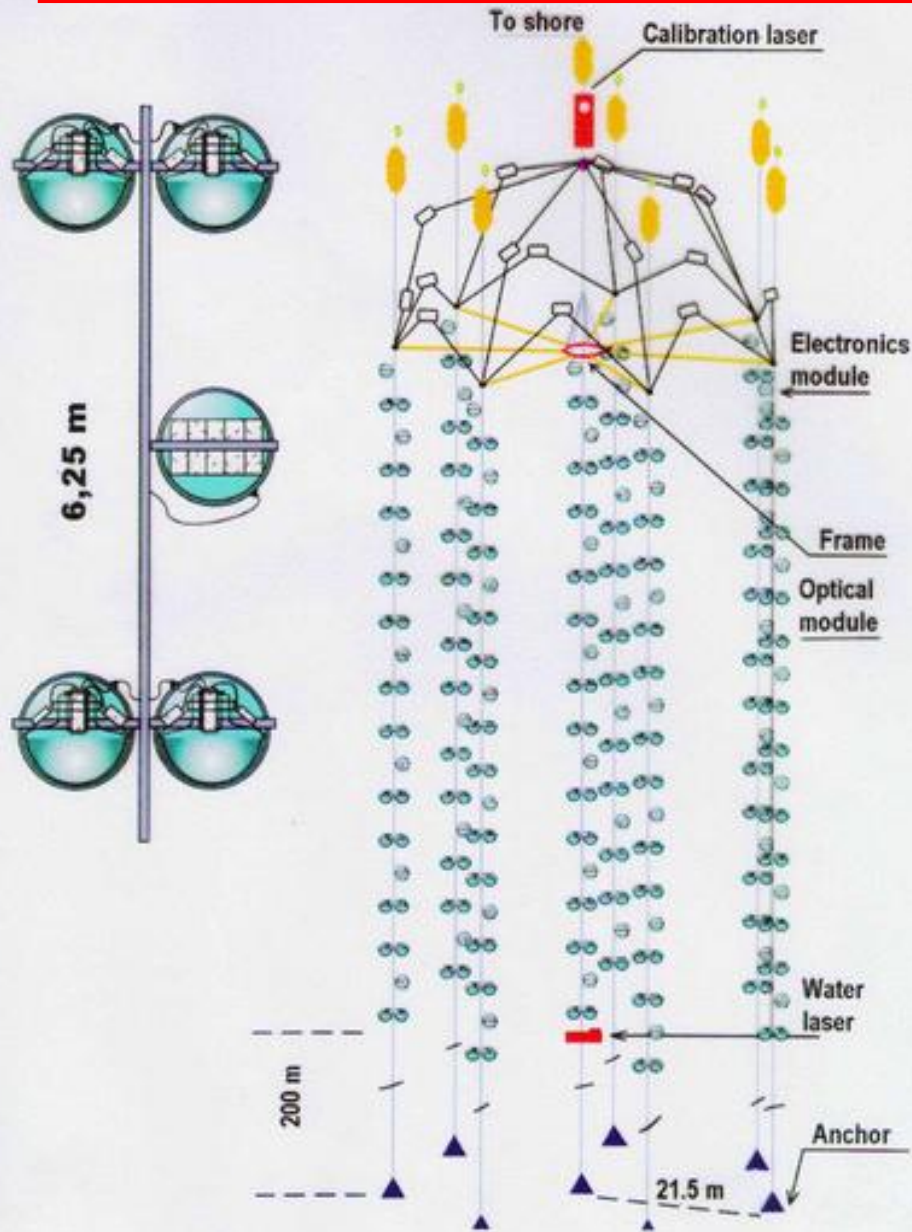
3 long outer strings

- Height = 210m

- \varnothing = 200m

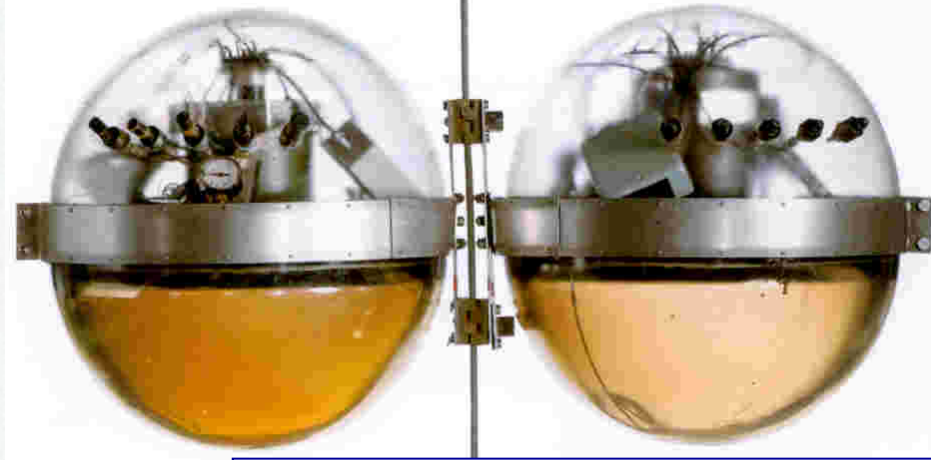
- Volume ~ 5 Mton

NEUTRINO TELESCOPE NT-200



- 8 strings: 72m height
- 192 optical modules
= 96 pairs (coincidence)
- measure T, Charge
 - $\sigma_T \sim 1 \text{ ns}$
 - dyn. range $\sim 1000 \text{ p.e.}$

Effective area: $1 \text{ TeV} \sim 2000 \text{ m}^2$
Eff. shower volume: $10 \text{ TeV} \sim 0.2 \text{ Mt}$



Height $\times \varnothing = 70 \text{ m} \times 40 \text{ m}$, $V_{\text{inst}} = 10^5 \text{ m}^3$

Quasar PM: $d = 37 \text{ cm}$

Outline:

- **Physics Results (selected) : NT200 1998-2002**

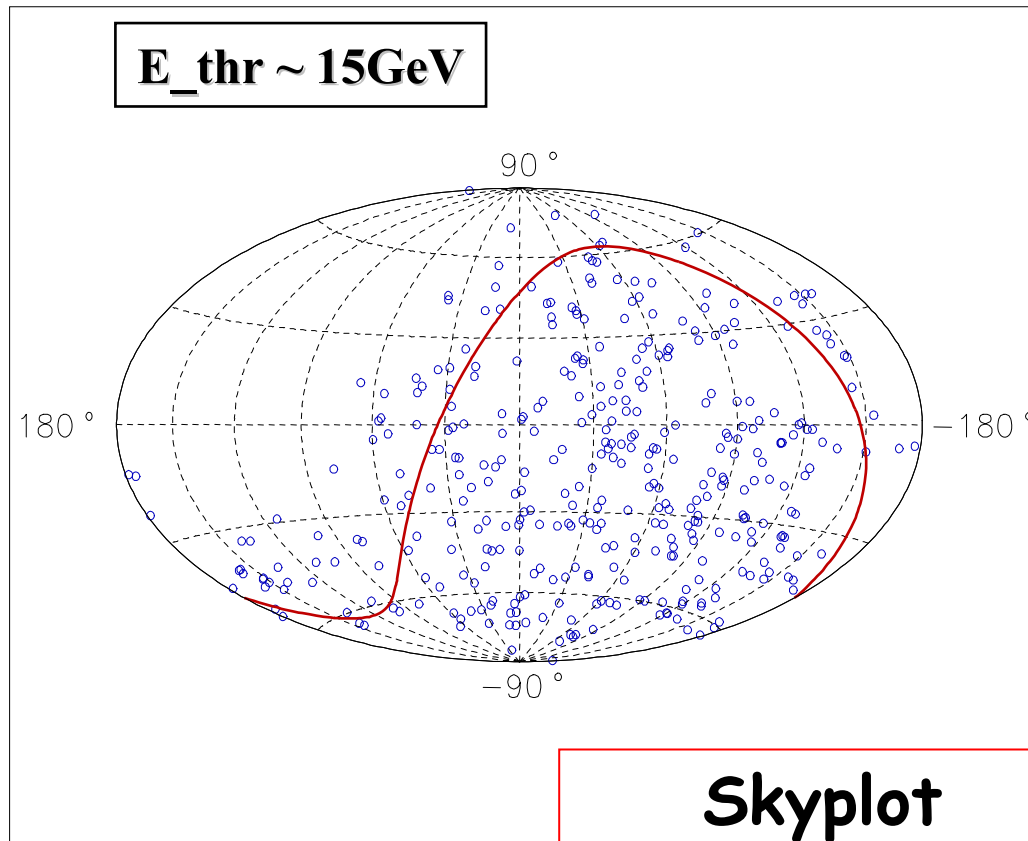
- **Gigaton Volume Detector in Lake Baikal**

- **a) NT200+ (10 Mt Detector) - intermediate stage to GVD**

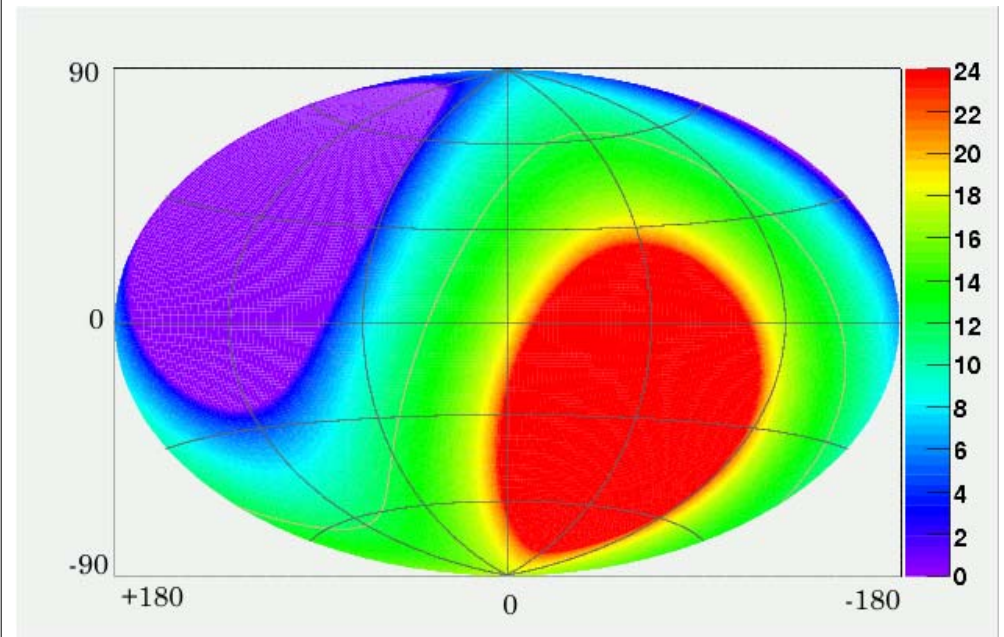
- **b) present and nearest future activities toward GVD**

- **Conclusion**

Atmospheric Muon-Neutrinos



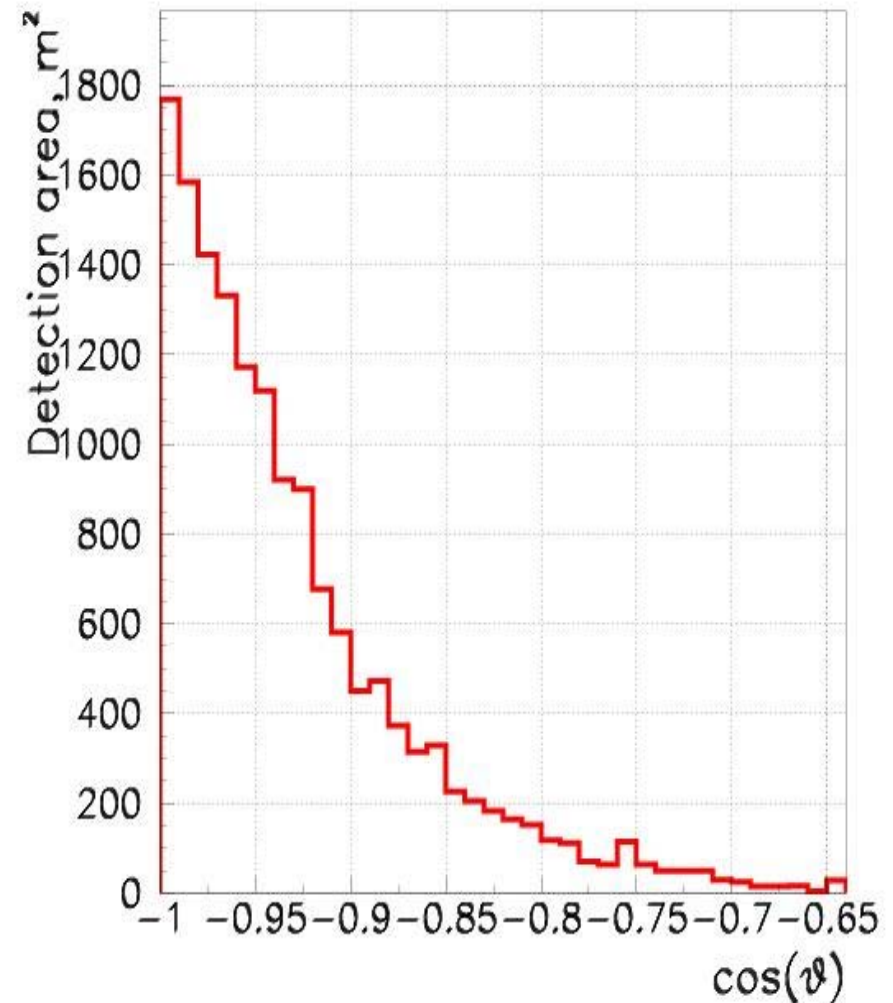
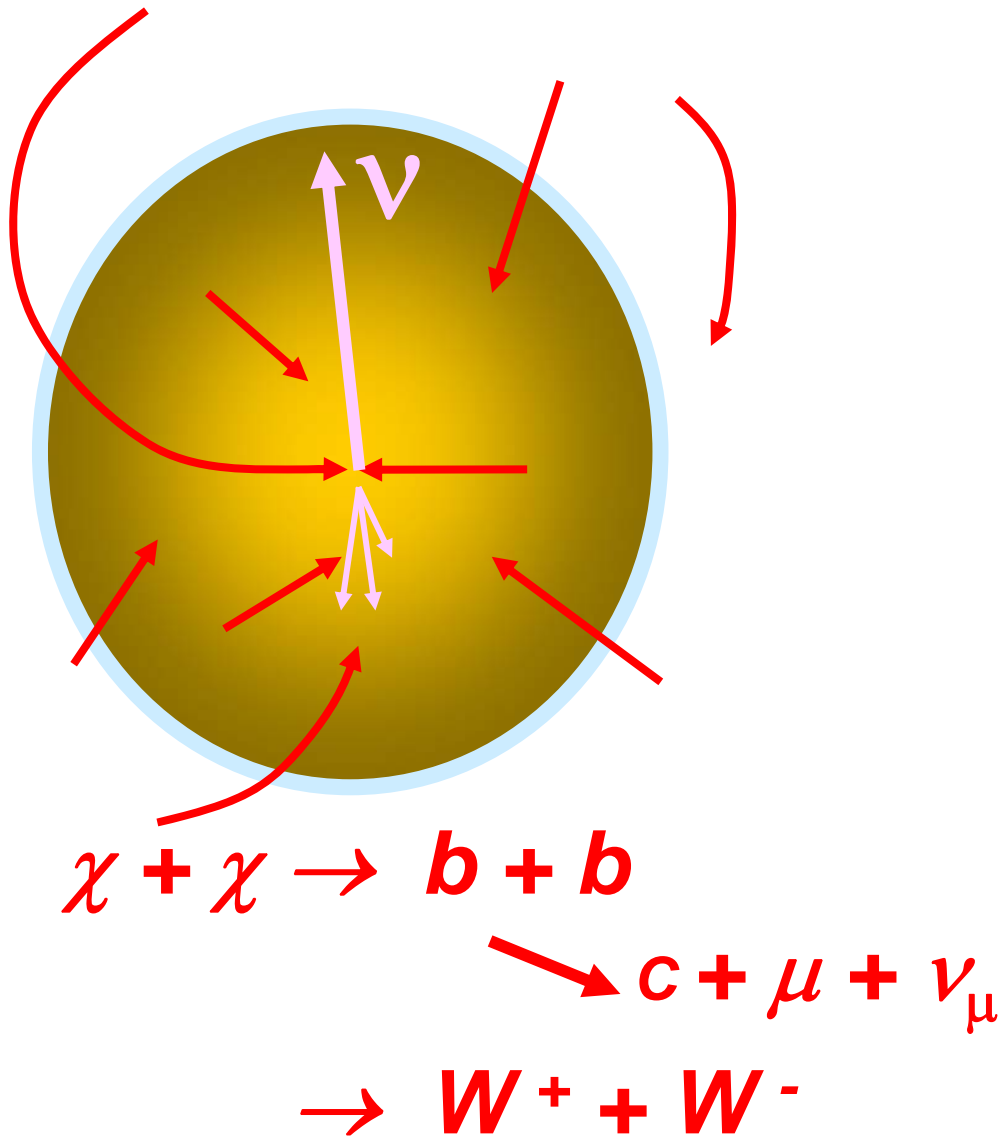
Skyplot
(galactic coordinates)



- 1998-2002: 372 events.
- → A higher statistics neutrino sample for Point-Source Search.
- MC: 385 ev. Expected (15%BG).

WIMP Neutrinos from the Center of the Earth

Detection area of NT-200 for vertically up-going muons detection (after all cuts)



WIMP Neutrinos from the Center of the Earth

Data analysis

Livetime – 1038 days (April 1998 – February 2003)

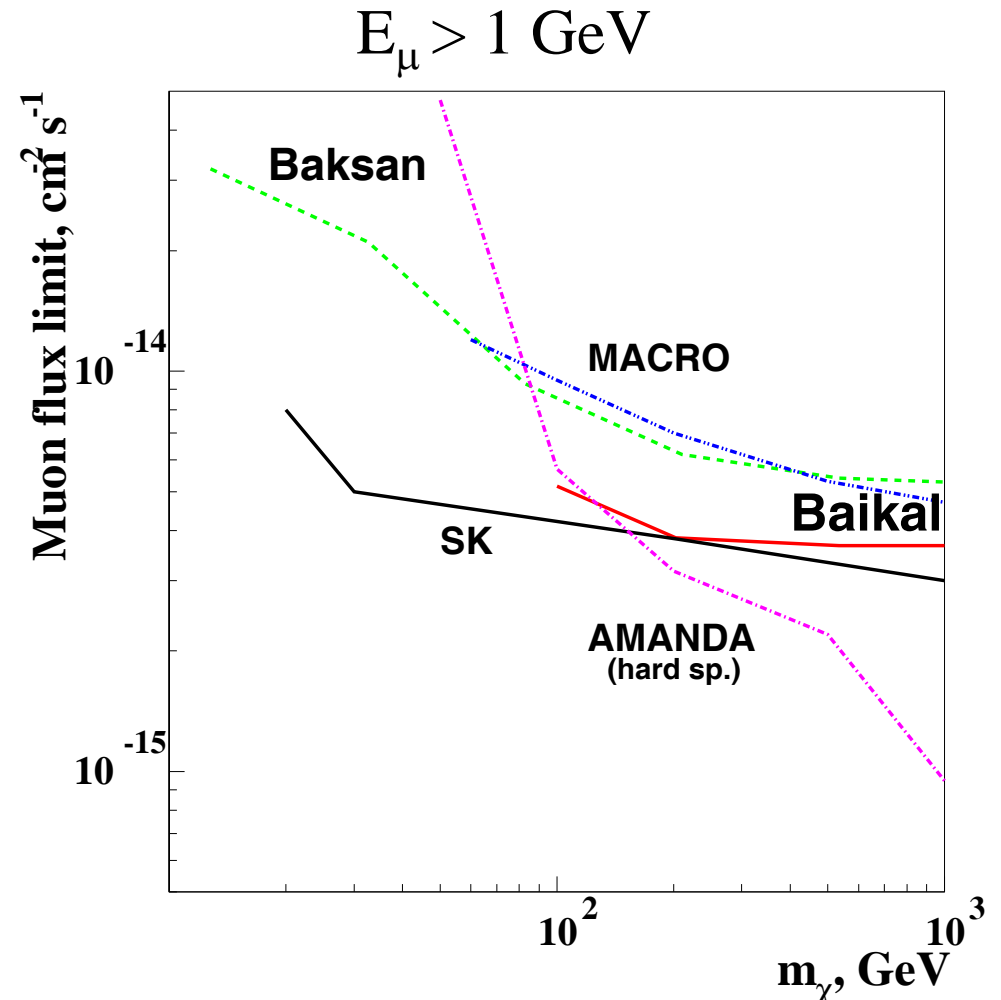
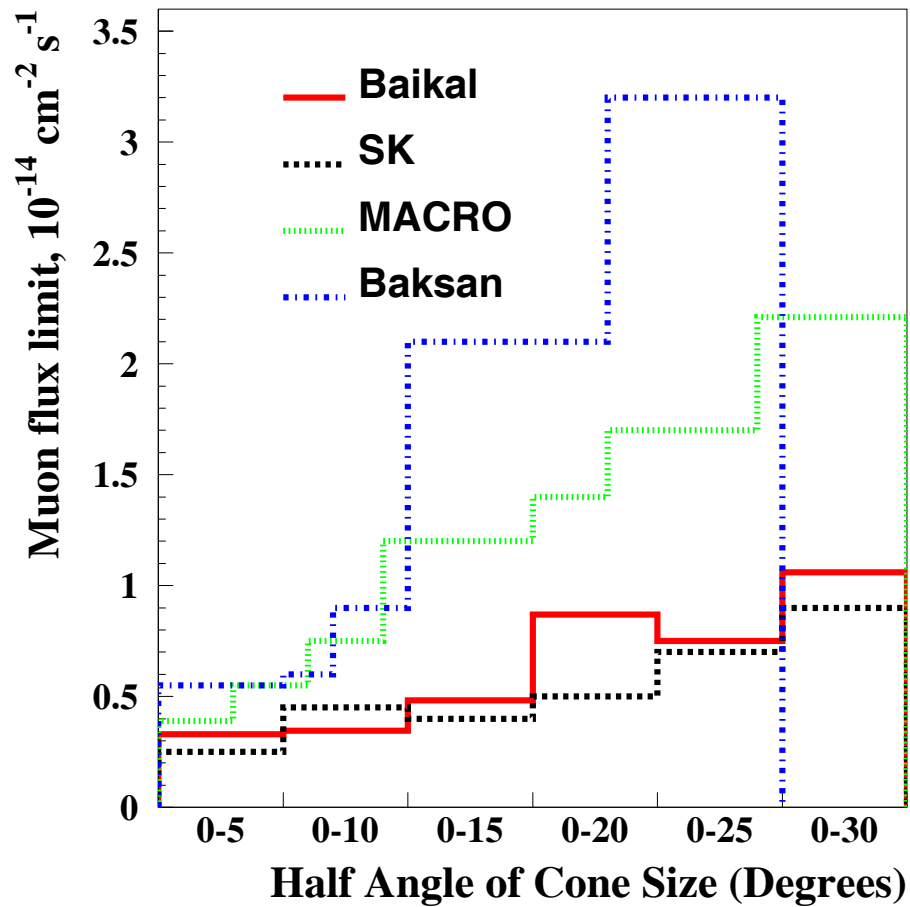
| | | | | |
|-------|-------------------------------|-----|--------------------|------------------------------------|
| | Trigger: $N_{\text{hit}} > 3$ | --- | 3.45×10^8 | events detected |
| after | Cut 1 | --- | 90653 | events selected |
| after | all Cuts | --- | 48 | events selected |
| | Atm. neutrinos | --- | 73.1 | events without oscillations |
| | (expectation) | --- | 56.6 | events with oscillations |
| | Atm. muons | --- | 3.6 | events expected |
| | (background) | | | |

Systematic uncertainties: 24%

Within stat. and syst. uncertainties 48 detected events are compatible with the expected background induced by atmospheric neutrinos with oscillations.

90% C.L. upper limit on the excess muon flux

| | Baikal | Amanda | SK | Baksan | MACRO |
|---------|--------|--------|------|--------|-------|
| T, days | 1038 | 422 | 1680 | 5402 | 1298 |



Using Baksan estimations
for MSSM($P=0.5$; $m_a=52.5\text{GeV}$; $\text{tg}\beta=8$)

Search for fast monopoles ($\beta > 0.8$)

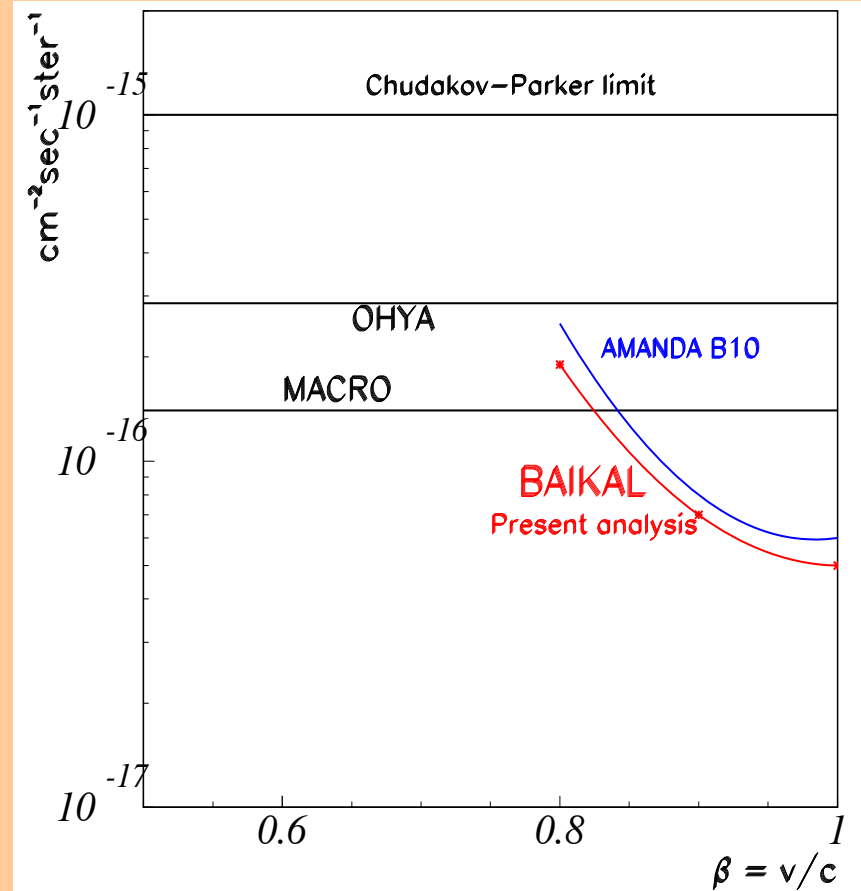
$$N_\gamma(\lambda) = n^2 (g/e)^2 N_{\gamma\mu}(\lambda) = 8300 N_{\gamma\mu}(\lambda)$$
$$g = 137/2, \quad n = 1.33$$
$$\sim E_\mu = 10^7 \text{ GeV}$$

Event selection criteria:

hit channel multiplicity - $N_{hit} > 35$ ch,
upward-going monopole -
 $\Sigma(z_i - z)(t_i - t) / (\sigma_t \sigma_z) > 0.45$ & $\theta > 100^\circ$

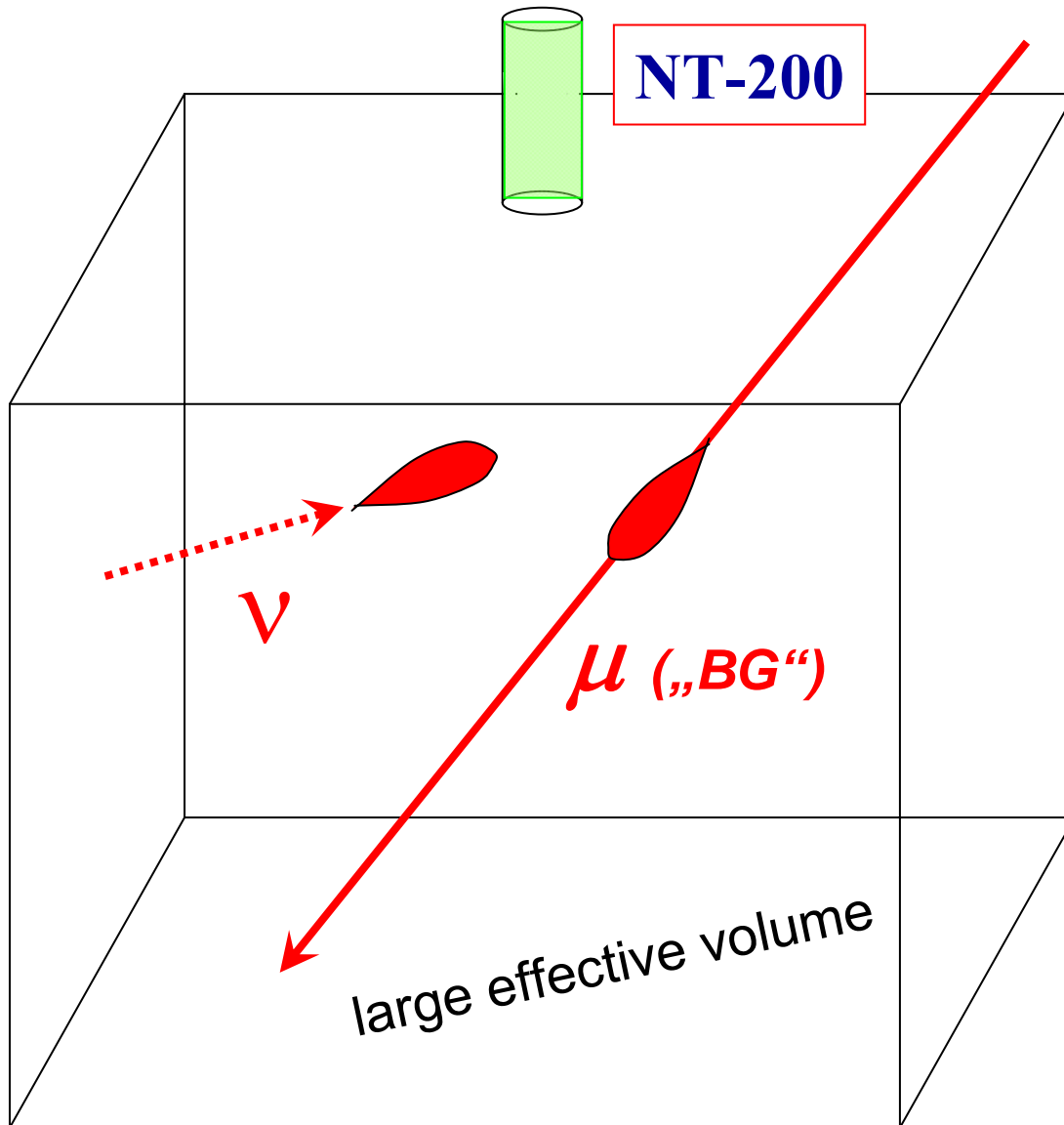
Background - atmospheric muons

Limit on a flux of relativistic monopoles: $\Phi < 4.6 \cdot 10^{-17} \text{ cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$



90% C.L. upper limit on the flux of fast monopole (1003 livedays)

Search for High-Energy Cascades



NT-200 is used to watch the volume below for cascades.

Physics topics:

- HE cascades from
 $\nu_e \nu_\mu \nu_\tau$ - NC/CC

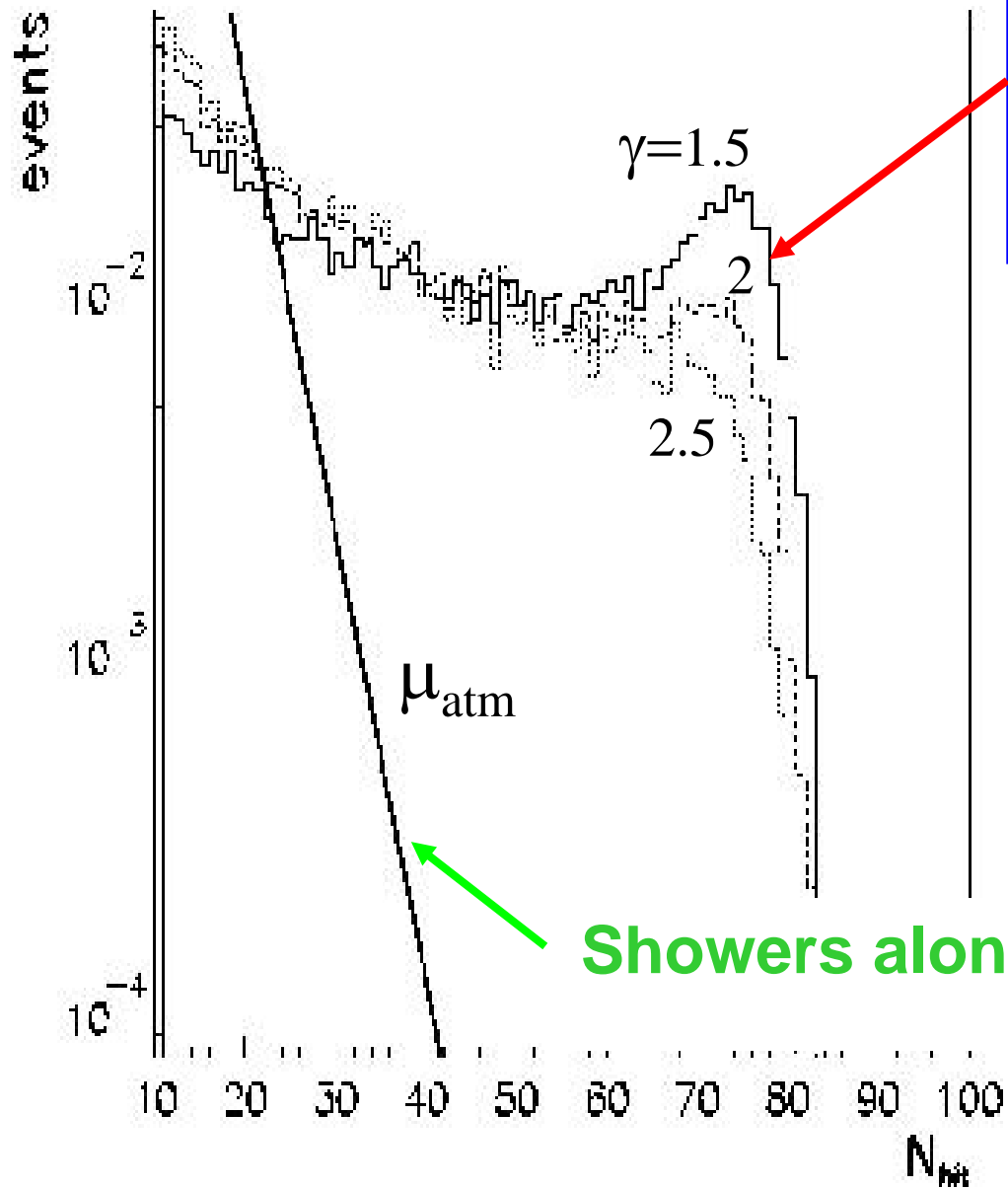
- Diffuse astroph. flux
- GRB correlated flux

- HE atmospheric muons
(the „BG“ to ν 's)

- Prompt μ
- Exotic μ

- ...

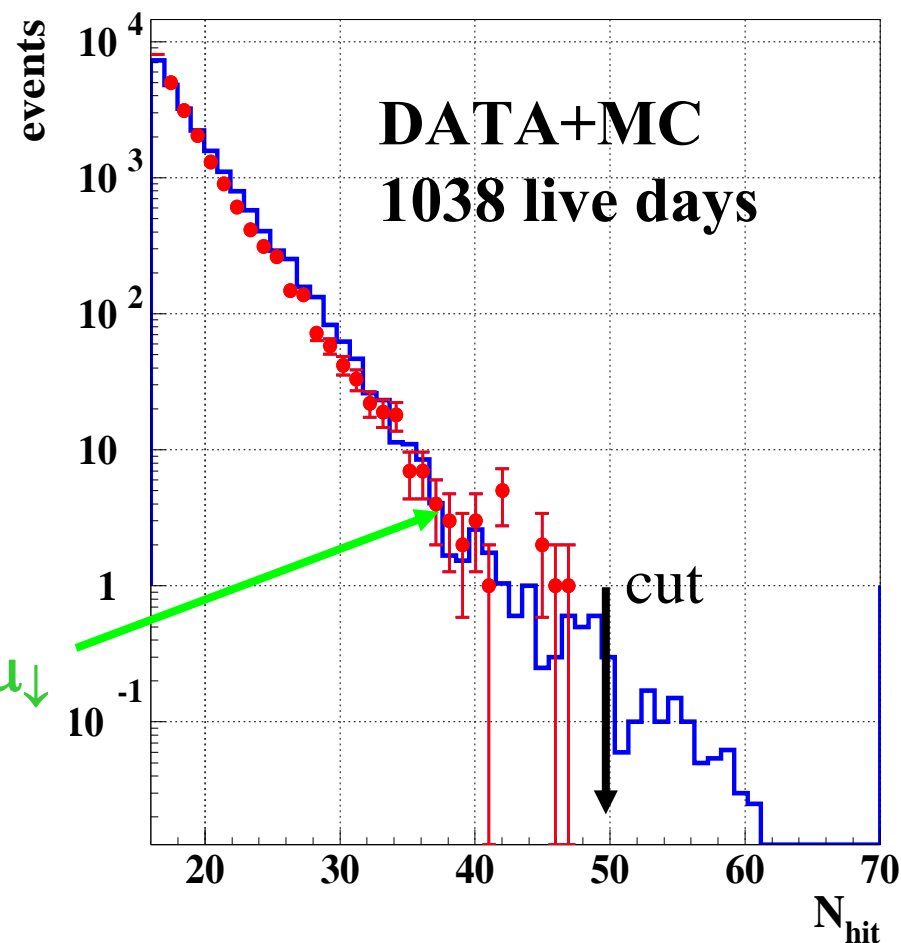
Selecting HE Cascades



Hard signal spectra would pile up in the "energy parameter"

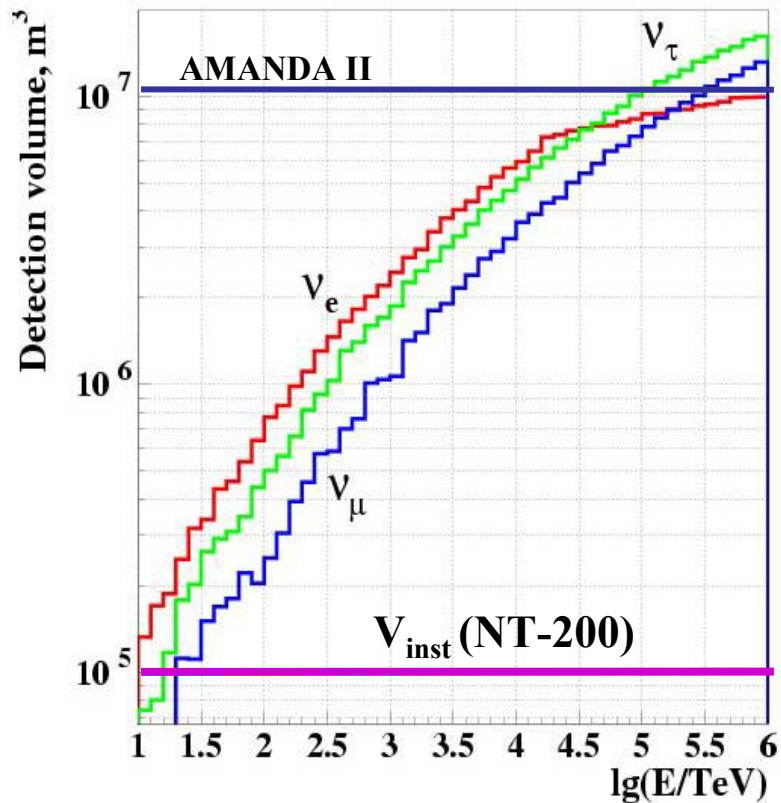
N_{hit} = Number of Channels hit

Shape of signal in N_{hit} distribution for $\Phi_v = A E^{-\gamma}$ ($\gamma=1.5, 2.0, 2.5$).



Diffuse Flux ν_e, ν_τ, ν_μ Limit

Detection Volume vs. Energy



$V_{\text{det}} > 1 \text{ Mton at } 1 \text{ PeV}$

No events observed (24% system. err.) \rightarrow 2.5 evt exp.

The 90% C.L. “all flavour” limit (1038 days)

for a $\gamma=2$ spectrum $\Phi_\nu \sim E^{-2}$ (20 TeV < E < 50 PeV),

and assuming $\nu_e:\nu_\mu:\nu_\tau = 1:1:1$ at Earth (1:2:0 at source)

$$E^2 \Phi_\nu < 8.1 \cdot 10^{-7} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ (Baikal 2005)}$$

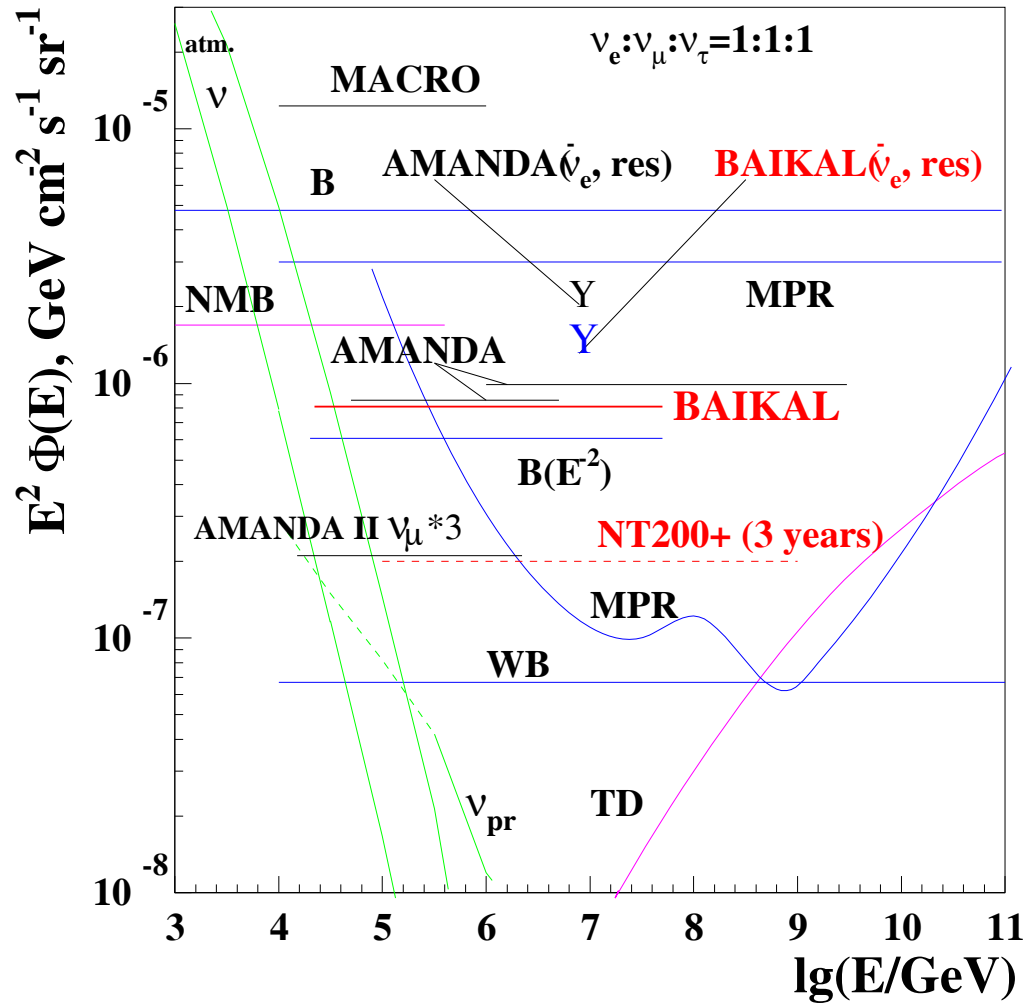
$$E^2 \Phi_\nu < 2.2 \cdot 10^{-7} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1} \text{ (Muons AMANDA-II, 2007)}$$

90% C.L. Limit via W-RESONANCE production
(E = 6.3 PeV, $\sigma = 5.3 \cdot 10^{-31} \text{ cm}^2$)

$$\Phi_{\nu_e} < 3.3 \cdot 10^{-20} (\text{cm}^2 \cdot \text{s} \cdot \text{sr} \cdot \text{GeV})^{-1} \text{ (Baikal 2005)}$$

$$\Phi_{\nu_e} < 5.0 \cdot 10^{-20} (\text{cm}^2 \cdot \text{s} \cdot \text{sr} \cdot \text{GeV})^{-1} \text{ (AMANDA 2004)}$$

Diffuse Flux Limits + Models



Astropart. Phys. 25 (2006) 140

Ultimate goal of Baikal Neutrino Project:

Gigaton (km³) Volume Detector in Lake Baikal

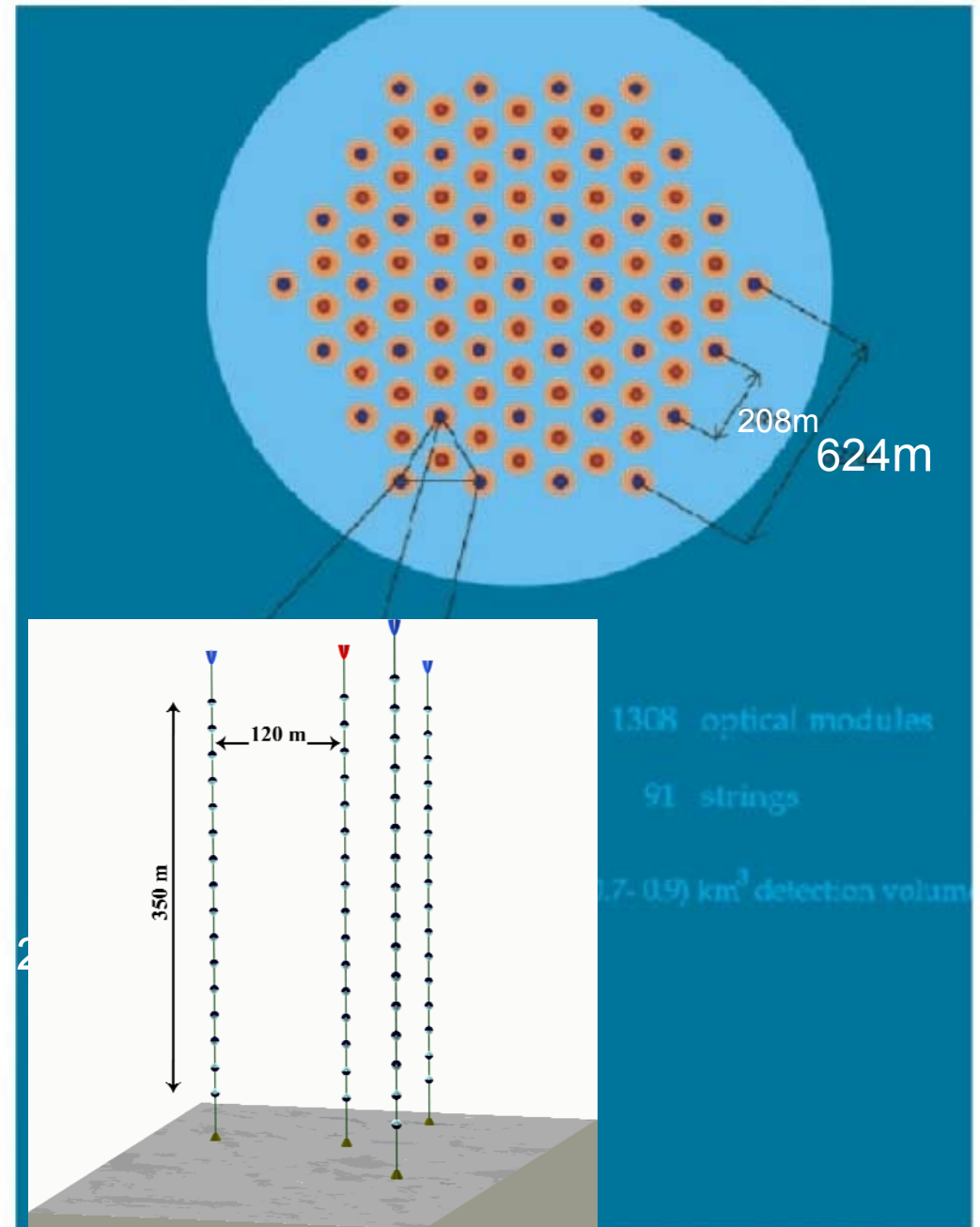
Sparse instrumentation:

91 - 100 strings with 12 - 16 OMs
(1300 - 1700 OMs)

→ effective volume for
>100 TeV cascades ~ 0.5 - 1.0 km³

$\delta \lg(E) \sim 0.1$, $\delta \theta_{\text{med}} < 4^\circ$

→ detects muons with
energy > 10 - 30 TeV



2005: **NT200+** - intermediate stage to **Gigaton Volume Detector (km³ scale)** is commissioned in Lake Baikal

Main physics goal:

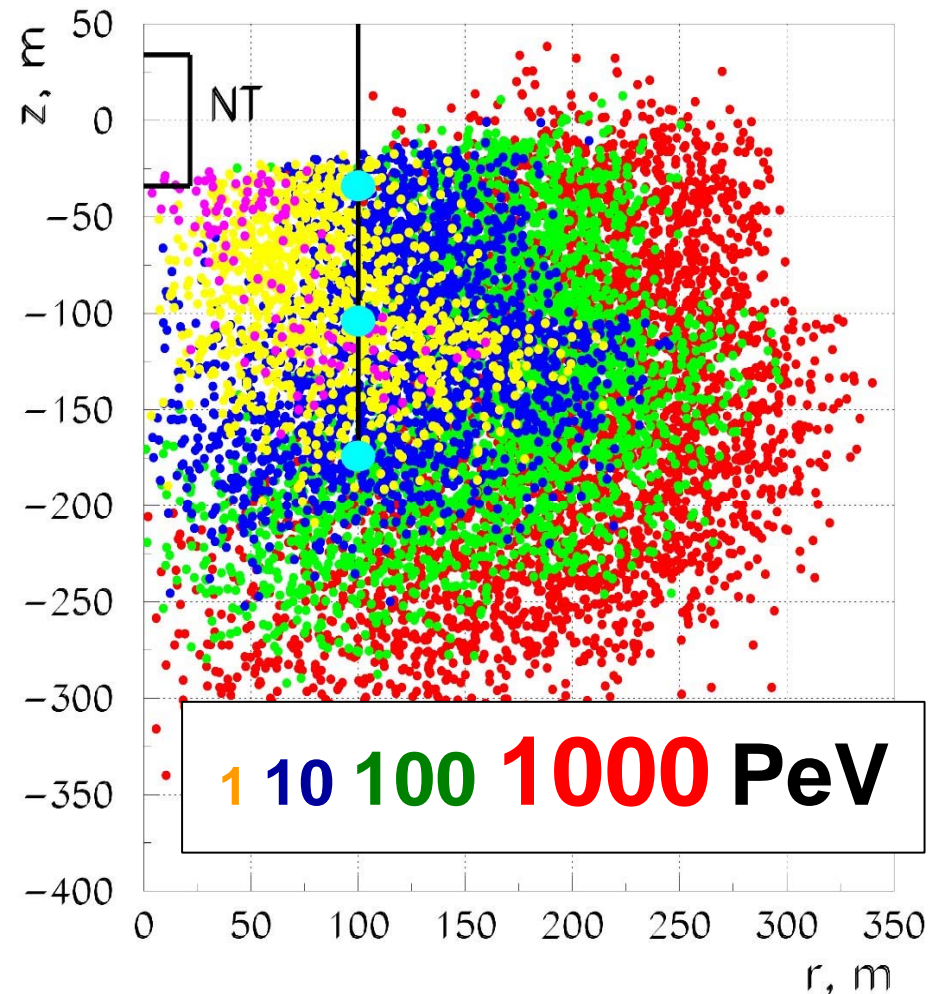
Energy spectrum of all flavor extraterrestrial HE-neutrinos ($E > 100 \text{ TeV}$)

Total number of OMs – 228 / 11 strings

Instrumented volume – 5 Mt (AMANDA II, ANTARES – 10 Mt)

Detection volume $>10 \text{ Mt}$ for $E_\nu > 10 \text{ PeV}$

- high resolution of cascade vertex and energy \longrightarrow neutrino energy



4 15 23 40 Mton

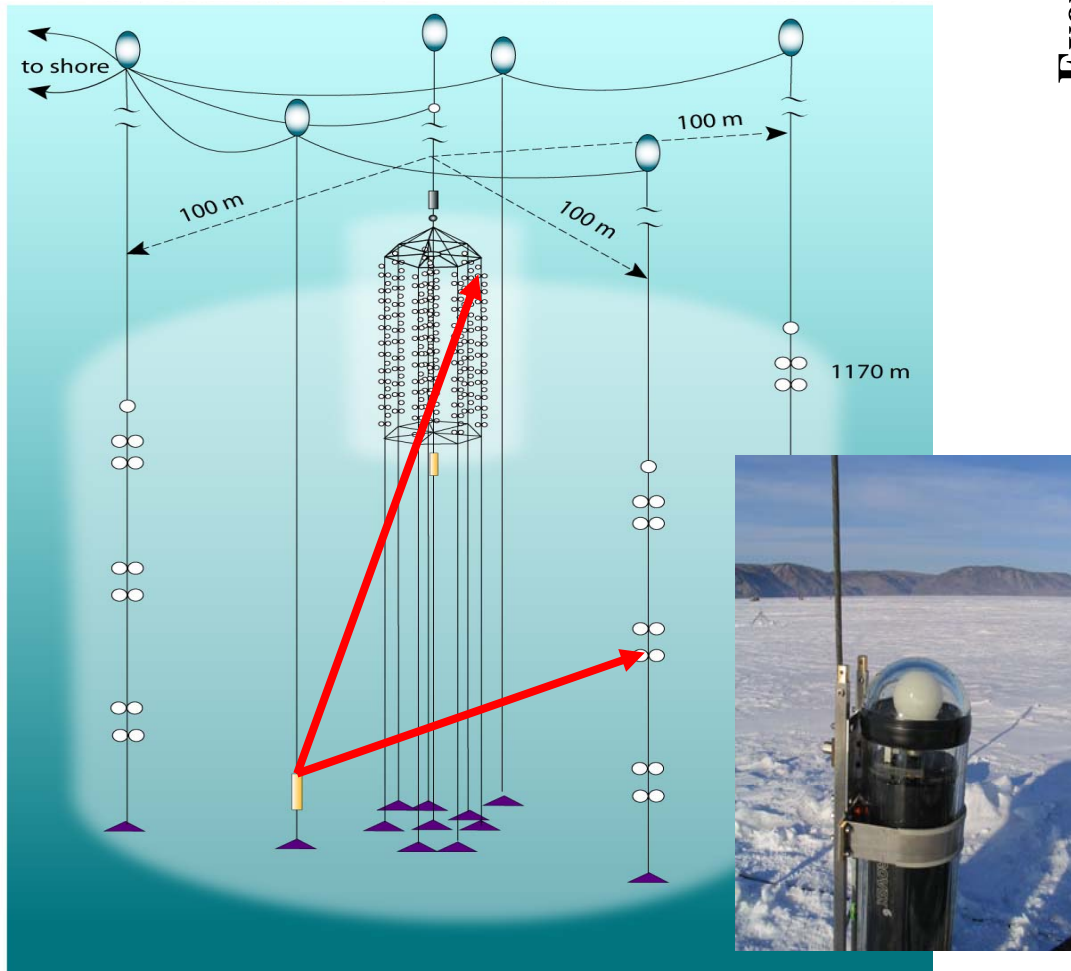
NT200+ Laser pulses as high-energy cascades

Laser intensity - cascade energy:
($10^{12} - 5 \cdot 10^{13}$) γ /puls - (10 - 500) PeV

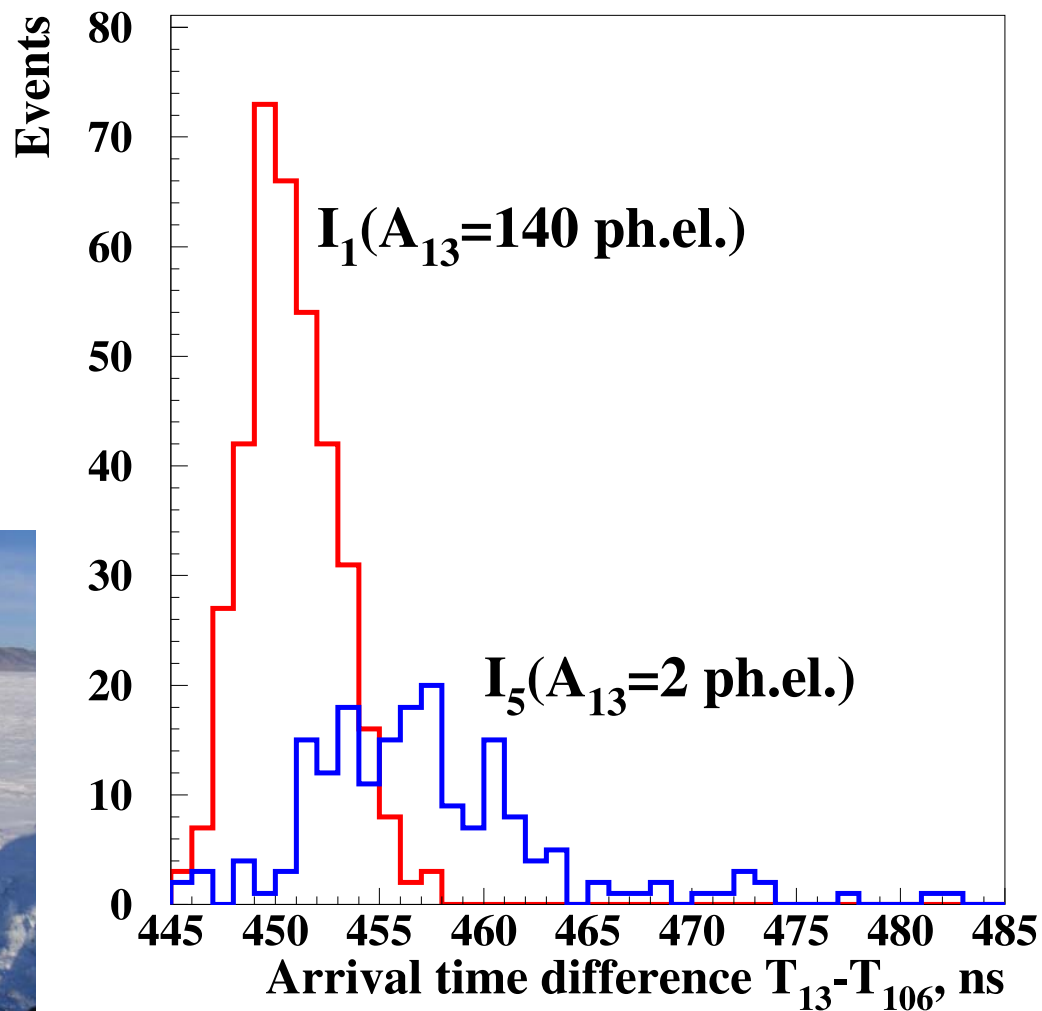
Ch.13 - 187 m far from laser

$A_{13}=140$ ph.el. for $5 \cdot 10^{13}$ γ /puls

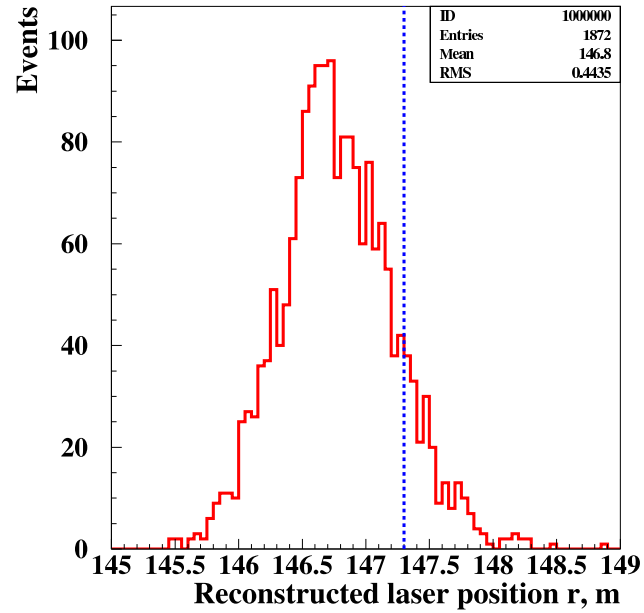
Sensitive vol./OM ~ 20 Mt



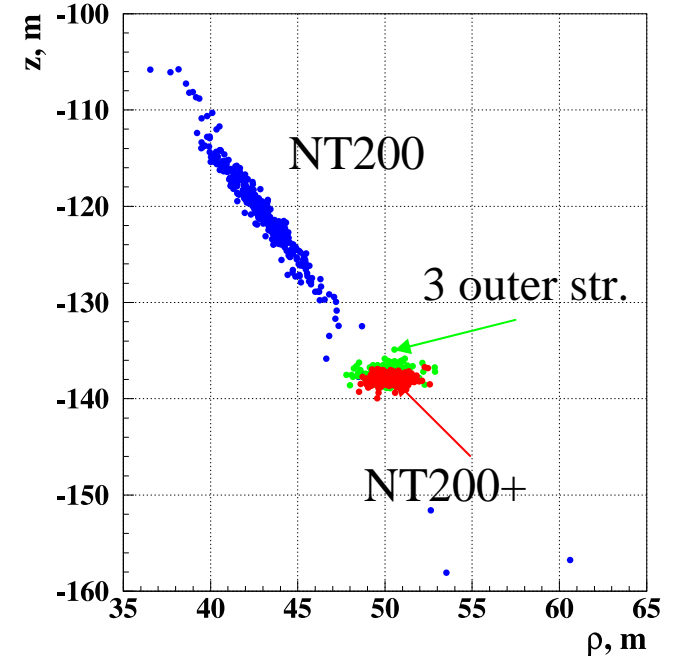
Arrival time distributions



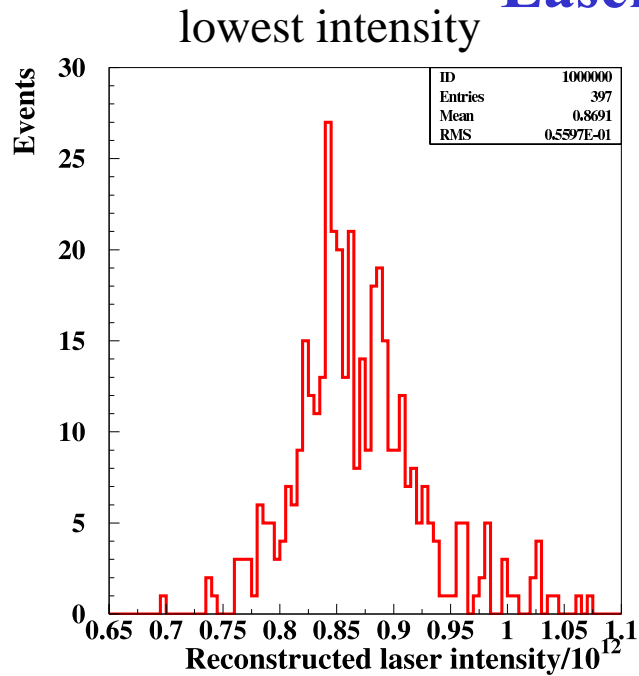
Laser coordinates reconstruction



$\delta r < 1$ m

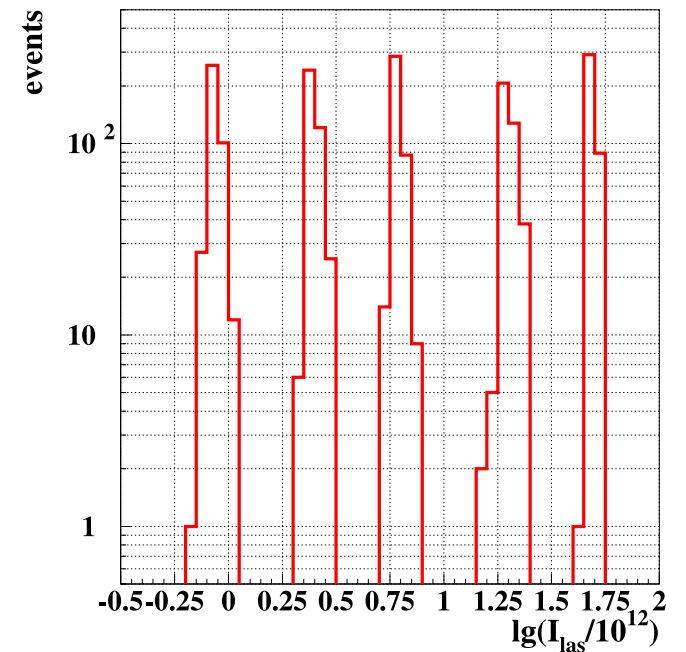


Laser intensity reconstruction



$\delta I/I \sim 6\%$

five laser intensities



PM selection for the km3 prototype string

Basic criteria of PM selection is its effective sensitivity to Cherenkov light which depends on
Photocathode area \times Quantum efficiency \times Collection efficiency



Quasar-370

D \approx 14.6"

Quantum efficiency \approx 0.15

\approx ?

Hamamatsu R8055

D \approx 13"

Quantum efficiency \approx 0.20

\approx ?

Photonis XP1807

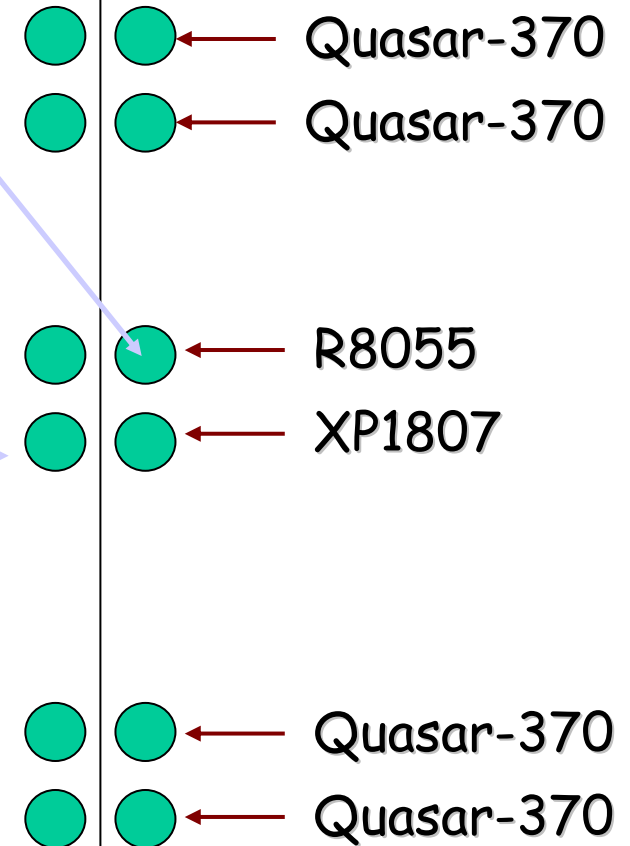
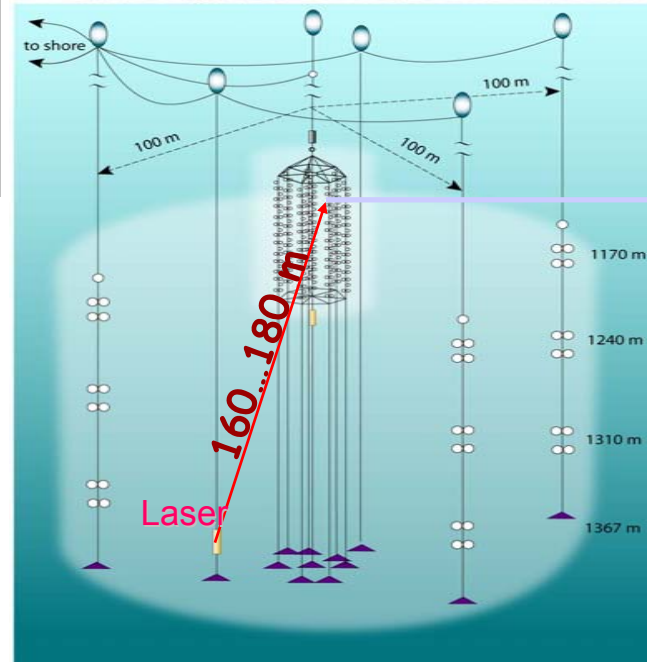
D \approx 12"

Quantum efficiency \approx 0.24

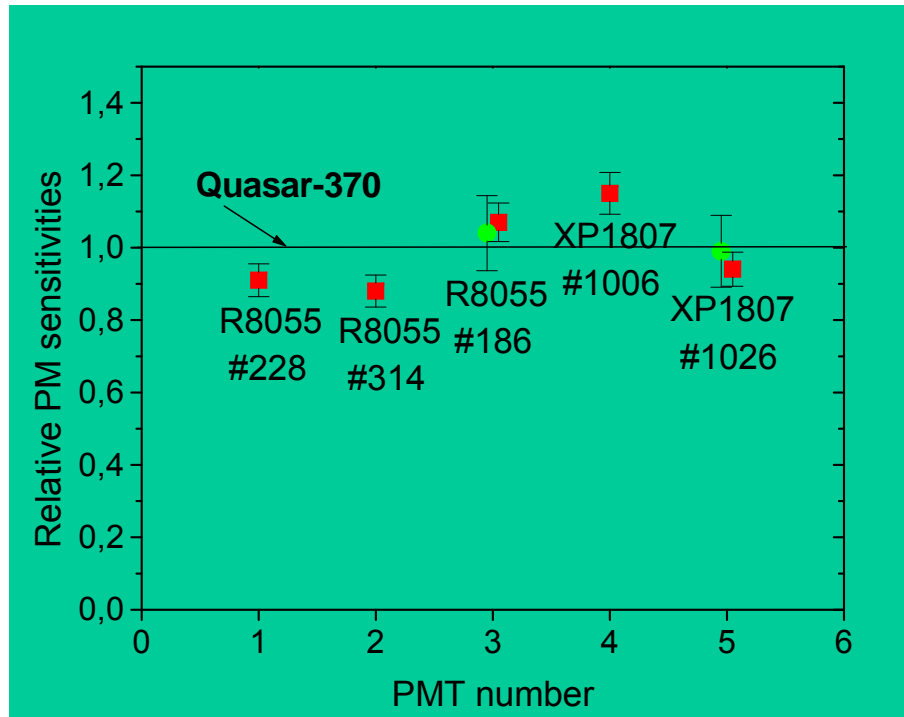
PM selection: Underwater tests (2007)

4 PM R8055 (Hamamatsu)
и 2 XP1807 (Photonis)
were installed to NT200+
detector (April 2007).

4 PM: central telescope
NT 200;
2 PM R8055: outer
string, FADC prototype.



Relative effective sensitivities of large area PMs (preliminary results)



Smaller size (R8055, XP1807) tends to be compensated by higher photocathode sensitivities.

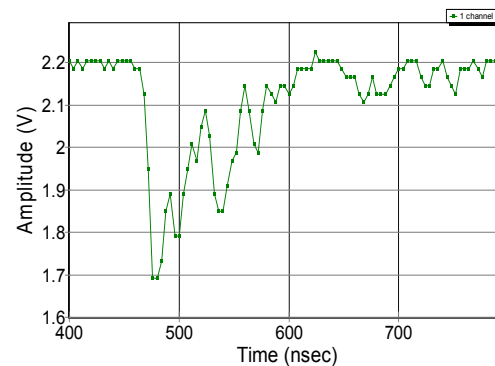
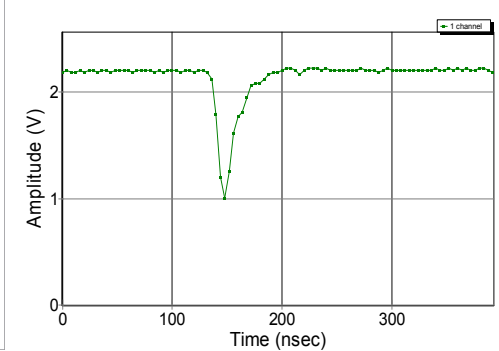
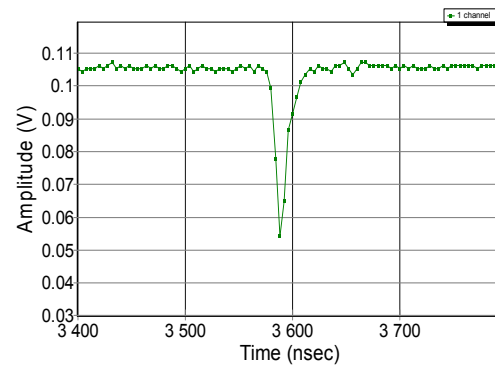
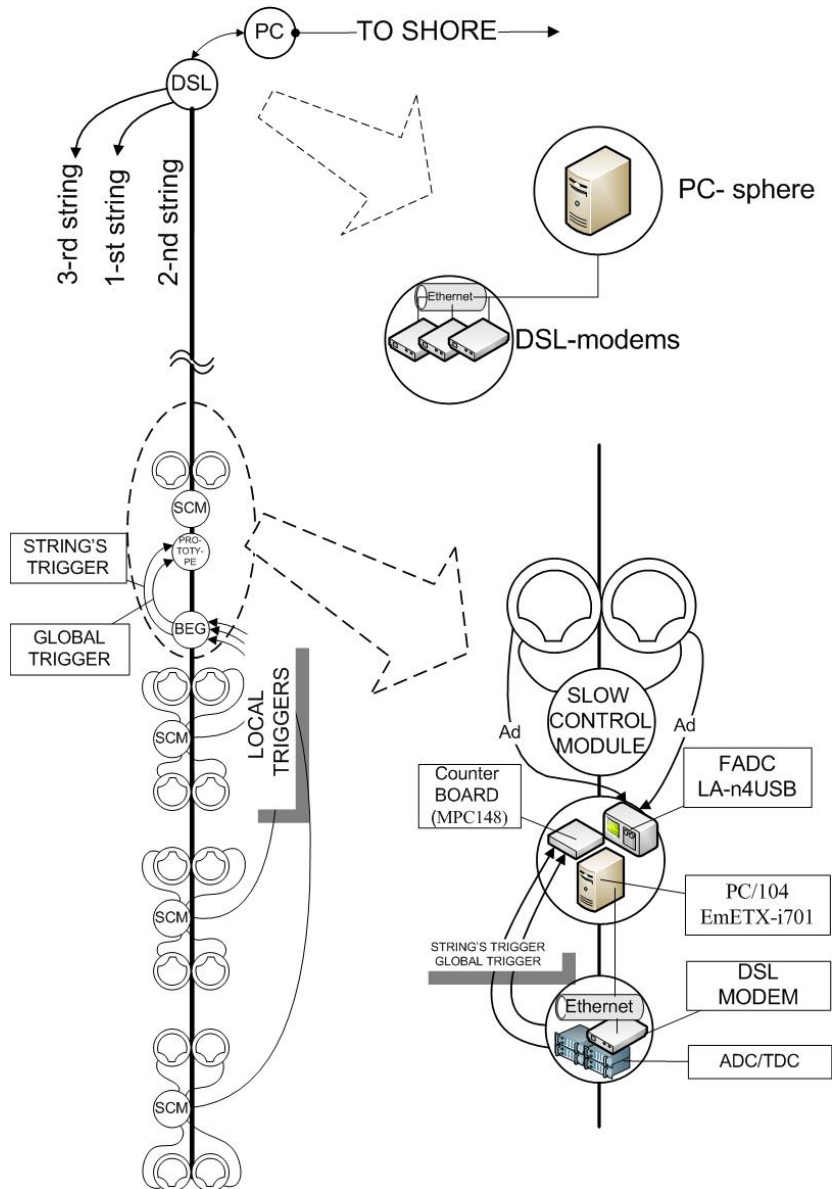
Relative effective sensitivities of large area PMs
R8055/13" , XP1807/12" and Quasar-370/14.6".
Laboratory measurements (squares), in-situ tests
(dots).

Prototype of FADC based system

2-channel FADC prototype was installed during expedition 2007

Purposes:

- optimal sampling time window
- dynamic range
- obtainable pulse parameter precision
- algorithms for online data handling



Examples of FADC pulses for different classes of events:

1. One p.e. noise hit
2. A muon trigger (multi-p.e.)
3. Backward illumination by a calibration laser

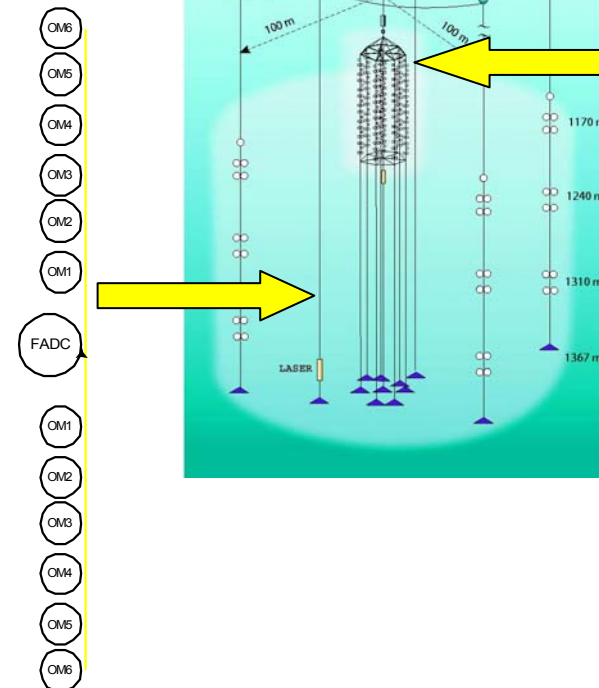
Prototype string for a km³ Baikal neutrino telescope

Project of km³ Baikal
Neutrino Telescope

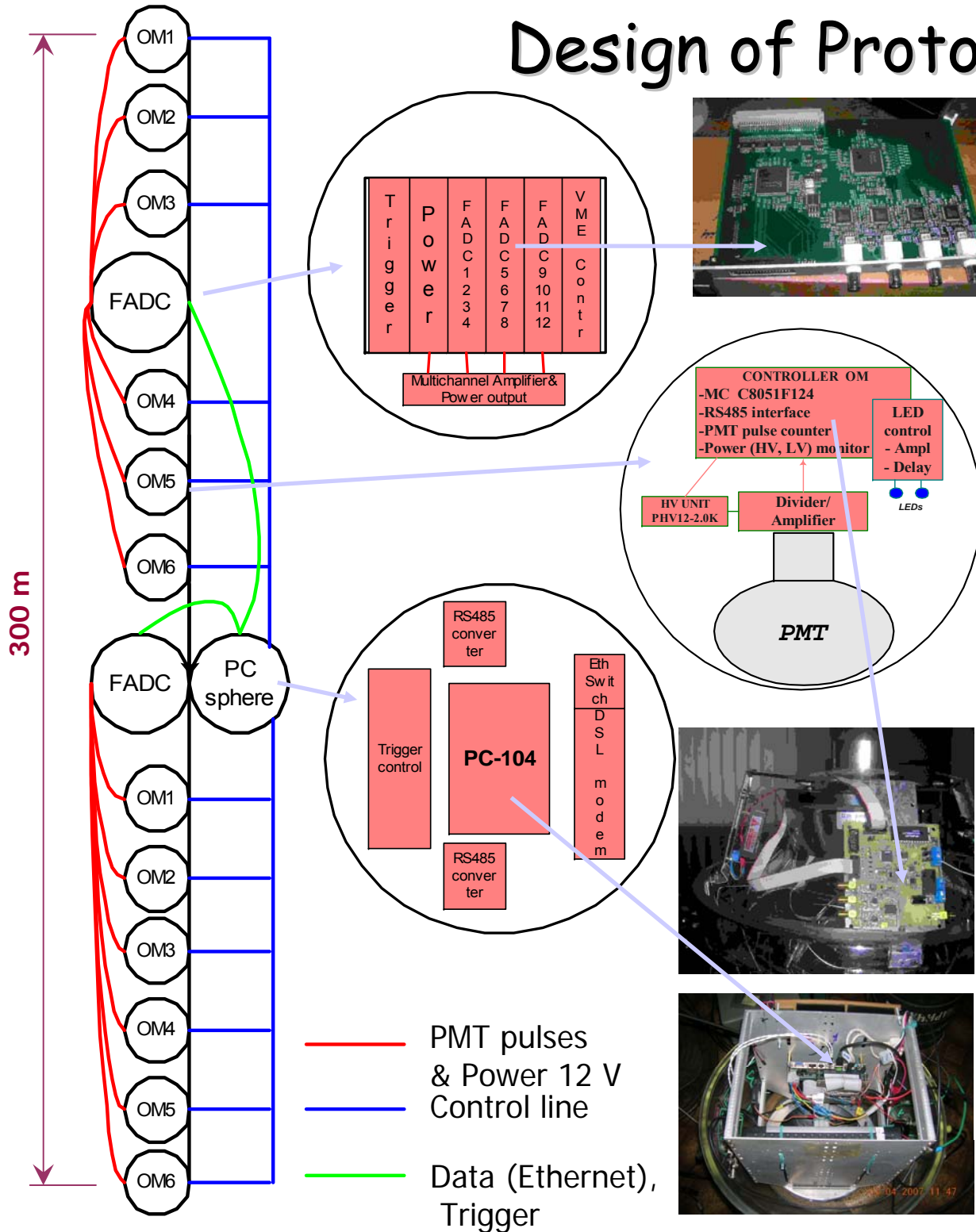
Installation of a "new
technology"
prototype string as a part of
NT200+ (spring 2008)

- Investigation and in-situ tests of basic knots of future detector: optical modules, DAQ system, new cable communications.
- Studies of basic DAQ/Triggering approach for the km³-detector.
- Confrontation of classical TDC/ADC approach with FADC readout.

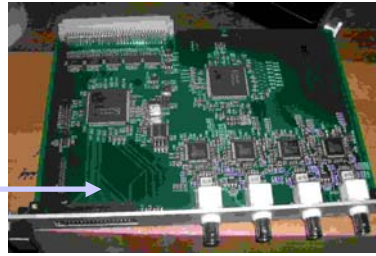
NT200+
current status
Prototype
string



Design of Prototype string

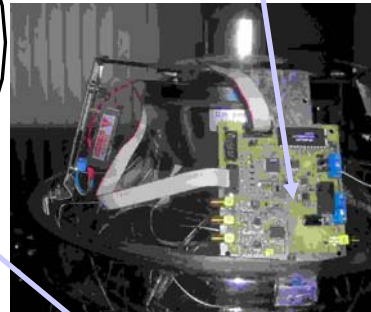


FADC unit is operating now in Tunka detector (astro-ph/0511229)



Basic features

- String lengths ~300 m
- String contains 12...16 OM
- Optical modules contains only PM and control electronics
- 12 bit 200 MHz FADC readout is designed as multi channel separate unit.
- Half-string FADC controllers with ethernet-interface connected to string PC unit
- String PC connected by string DSL-modem to central PC unit



Baikal – GVD

Schedule Milestones

- **06-07** **R&D, Testing NT200+**
- **08** **Technical Design**
- **08-14** **Fabrication (OMs, cables,
connectors, electronics)**
- **10-12** **Deployment (0.1 – 0.3) km³**
- **13-14** **Deployment (0.3 – 0.6) km³**
- **15-16** **Deployment (0.6 – 0.9) km³**

Summary

1. The Baikal Telescope NT200 is in operation since 1998.
2. NT200 focuses on search for HE-diffuse neutrinos: A “Mton-detector” with only 100kt enclosed volume.
 - Diffuse flux limits for 4 years (98-02) are challenging AGN-models.
3. NT200+ started data taking since April 2005:
 - NT200+ is tailored to diffuse cosmic neutrinos
 - 5 Mton equipped volume; $V_{\text{det}} > 10 \text{ Mton}$ at 10 PeV
 - sensitivity improvement by $\sim 4\times$
4. R&D on Gigaton Volume Detector (km³ scale) started on the base of experience of NT200+ operation

First step to BAIKAL-GVD

